



SECRET

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : James D. Schlick et al.
 Application No. : 09/493,783
 Filed : January 28, 2000
 For : METHOD AND APPARATUS FOR PROBLEM
 SOLVING, DECISION MAKING AND STORING,
 ANALYZING AND RETRIEVING ENTERPRISEWIDE
 KNOWLEDGE AND CONCLUSIVE DATA
 Attorney's Docket : KT-001AX

Group Art Unit: 2768

 I hereby certify that this correspondence is being deposited with
 the United States Postal Service as first class mail in an
 envelope addressed to: Box Missing Parts, Assistant Commissioner
 for Patents, Washington, D.C. 20231 on August 28, 2000.

By: James F. Thompson
 James F. Thompson
 Registration No. 36,699
 Attorney for Applicant(s)

RESPONSE TO NOTICE OF MISSING PARTS
and REQUEST FOR CORRECTED FILING RECEIPT

Box Missing Parts
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

In response to the Notice dated 03/03/00 (copy enclosed),
 enclosed please find:

- [X] Missing parts fee in the amount of \$ 65.00.
- [X] Filing fee in the amount of \$ 345.00.
- [X] Signed Declaration.

09/05/2000 JADD01 00000023 09493783

01 FC:217 435.00 OP

[X] A Petition for Extension of Time for 3 month(s) is hereby made, under provisions of §1.136(a); a check in the amount of \$435.00 is enclosed per §1.17.

It will be noted that the identification of the inventors in the enclosed Declaration is different from the identification of the inventors in the application as originally filed. In accordance with 37 C.F.R. §1.48(f), the enclosed Declaration acts to correct the identification of the inventors.

It is respectfully requested that a new Filing Receipt be issued setting forth the corrected inventor identification.

In the event any additional fee is required, please charge such amount to Patent and Trademark Office Deposit Account No. 23-0804. A triplicate of this transmittal letter is enclosed.

Respectfully submitted,

JAMES D. SCHLICK ET AL.

By: James F. Thompson

James F. Thompson

Registration No. 36,699

Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,

GAGNEBIN & HAYES LLP

Ten Post Office Square

Boston, Massachusetts 02109

Telephone: (617) 542-2290

Telecopier: (617) 451-0313

Date: August 28, 2000

JFT/raw

Enclosure(s)

232432-1



WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP
 Ten Post Office Square
 Boston, Massachusetts 02109
 Telephone: (617) 542-2290
 Telecopier: (617) 451-0313

Application No.: 09/493,783
 Filed: January 28, 2000
 Group Art Unit: 2768

GP2768

Date: August 28, 2000

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Attorney

Docket No.: KT-001AX

Sir:

In re application of: James D. Schlick et al.

Entitled: METHOD AND APPARATUS FOR PROBLEM SOLVING, DECISION MAKING AND STORING,
 ANALYZING AND RETRIEVING ENTERPRISEWIDE KNOWLEDGE AND CONCLUSIVE DATA

Transmitted herewith is an **amendment** in the above-identified application. The following checked items are applicable:

- ☐ This is a Request for Continued Examination under §1.114; a check in the amount of _____ is enclosed per §1.117(e).
- ☐ A Petition for Extension of Time for ___ month is hereby made under §1.136(a); a check in the amount of _____ is enclosed per §1.17.
- ☒ In the event a Petition for Extension of Time is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- ☒ James F. Thompson is hereby appointed Associate Attorney by:
 Registration No.: 36,699

Stanley M. Schurgin
 Attorney of Record: Stanley M. Schurgin
 Registration No.: 20,979

☐ Other:

CLAIMS AFTER AMENDMENT:	MINUS PRIOR PAID CLAIMS:	EQUALS PRESENT EXTRA CLAIMS:	RATE:	ADDITIONAL FEE:
Independent	5 - 3	= 2	x \$78.00 =	\$156.00
Total	111 - 20	= 91	x \$18.00 =	\$1638.00
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$260.00 =	-0-
SUBTOTAL ADDITIONAL FEE				\$1794.00
Small Entity filing, divide by 2. Previously submitted or verified statement must be attached (per §1.9, §1.27, §1.28)				\$897.00
TOTAL ADDITIONAL FEE				\$897.00

- ☐ No additional fee. ☒ The fee has been calculated above; a check in the amount of \$897.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:
 Assistant Commissioner for Patents, Washington, D.C. 20231 on August 28, 2000

SUBMIT IN TRIPLICATE
 JFT/raw 232431-1

James F. Thompson
 Attorney of Record: James F. Thompson
 Registration No.: 36,699

FORMALITIES LETTER



OC00000005019563

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/493,783	01/28/2000	James D. Schlick	KT-001AX

Weingarten Schurgin Gagebin & Hayes LLP
Ten Post Office Square
Boston, MA 02109

Date Mailed: 03/30/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 410.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/05/2000 JADD01 00000023 09493783

02 FC:201	345.00 OP
03 FC:205	65.00 OP